



CERTIFICATE OF MAILING

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Date of Deposit

Michael E. Milz

Name of Applicant, Assignee or
Registered Representative

Michael E. Milz

Signature

1-30-04

Date of Signature

Our Case No.: 10000/232

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Reydel et al.

Serial No.: 10/692,310

Filing Date: October 22, 2003

For: INTRODUCER APPARATUS WITH
EVERSIBLE SLEEVE

Examiner: To be assigned

Group Art Unit No.: To be assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

DOCUMENT NUMBER	DATE	NAME
3,421,509	1/1969	Fiore
3,583,391	6/1971	Cox et al.
3,595,230	7/1971	Suyeoka et al.
4,077,610	3/1978	Masuda
4,321,915	3/1982	Leighton et al.
4,540,409	9/1985	Nystrom et al.
4,586,974	5/1986	Nystrom et al.
4,604,094	8/1986	Shook
4,496,440	8/1990	Hall
5,171,305	12/1992	Schickling et al.
5,236,423	8/1993	Mix et al.
5,364,345	11/1994	Lowery et al.
5,423,784	6/1995	Metz
5,458,573	10/1995	Summers
5,538,584	7/1996	Metz
5,567,283	10/1996	Green et al.
5,779,670	7/1998	Bidwell et al.
5,397,311	8/1998	Fiore
6,007,521	12/1999	Bidwell et al.
6,039,721	3/2000	Johnson et al.
6,217,569	4/2001	Fiore

OTHER DOCUMENTS

1. Alspaugh et al., "Everting Balloon Catheter in the Biliary Tree: A Technical Note", *CardioVascular and Interventional Radiology*, Vol. 9, pp. 164-166, 1986.
2. Benjamin et al, "The Toposcopic Through-Lumen Everting Catheter to Facilitate Dilation of Severe Strictures of the Gastrointestinal Tract", *Gastrointestinal Endoscopy*, Vol. 32, No. 1, pp. 33-35, 1986.

3. Goldstein et al., "The Toposcopic Catheter and the Fiberoptic pH Probe-Two Medical Instrucments of Potential Use to Gastroenterologist", *Endoscopy*, Vol. 29, No. 3, pp. 236-240, 1983.
4. Reydel et al., U.S. patent application number 09/847,494 filed May 2, 2001, now abandoned.
5. Shook et al., "Everting (Toposcopic) Catheter for Broad Clinical Application", *Transactions of the ASME*, Vol. 108, pp. 168-174, 1986.
6. Shook, D.R., "The Ins and Outs of Toposcopy and the Everting Catheter", *SOMA*, pp. 22-27, 1987.

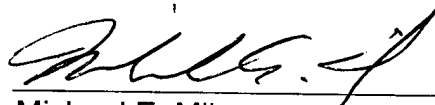
In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

This application is a continuation application of U.S. Serial No. 09/847, 494, filed May 2, 2001 and is relied upon for an earlier filing dated under 35 U.S.C. § 120. In accordance with Rule 37 C.F.R. § 1.98(d) only copies of documents not previously cited and submitted to the Patent and Trademark Office in the prior application Serial No. 09/847, 494 are enclosed for the convenience of the Examiner.

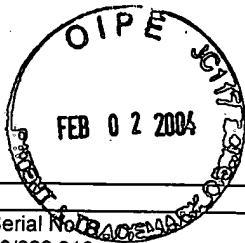
Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Milz", is written over a horizontal line.

Michael E. Milz
Registration No. 34,880
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200



TRANSMITTAL LETTER			Case No. 10000/232
Serial No. 10/692,310	Filing Date October 22, 2003	Examiner To be assigned	Group Art Unit To be assigned
Inventor(s) Reydel et al.			
Title of Invention INTRODUCER APPARATUS WITH EVERSOLE SLEEVE			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an Information Disclosure Statement, Form PTO-1449, copy of cited reference A25 and return postcard.

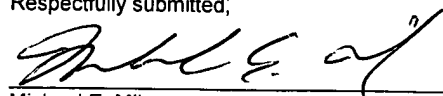
- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$9=			x \$18=	
x 43=			x \$86=	
+\$145=			+\$290=	
Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted;



Michael E. Milz
Registration No. 34,880
Attorney for Applicant
Customer No. 00757 - Brinks Hofer Gilson Lione

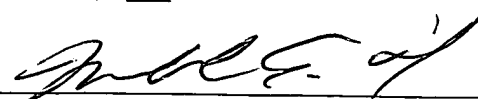
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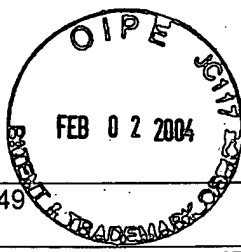
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Date:

1-30-04

Signature:





FORM PTO-1449	SERIAL NO. 10/692,310	CASE NO. 10000/232
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE October 22, 2003	GROUP ART UNIT To be assigned
(use several sheets if necessary)	APPLICANT(S): Reydel et al.	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	3,421,509	1/1969	Fiore		
	A2	3,583,391	6/1971	Cox et al.		
	A3	3,595,230	7/1971	Suyeoka et al.		
	A4	4,077,610	3/1978	Masuda		
	A5	4,321,915	3/1982	Leighton et al.		
	A6	4,540,409	9/1985	Nystrom et al.		
	A7	4,586,974	5/1986	Nystrom et al.		
	A8	4,604,094	8/1986	Shook		
	A9	4,496,440	8/1990	Hall		
	A10	5,171,305	12/1992	Schickling et al.		
	A11	5,236,423	8/1993	Mix et al.		
	A12	5,364,345	11/1994	Lowery et al.		
	A13	5,423,784	6/1995	Metz		
	A14	5,458,573	10/1995	Summers		
	A15	5,538,584	7/1996	Metz		
	A16	5,567,283	10/1996	Green et al.		
	A17	5,779,670	7/1998	Bidwell et al.		
	A18	5,397,311	8/1998	Fiore		
	A19	6,007,521	12/1999	Bidwell et al.		
	A20	6,039,721	3/2000	Johnson et al.		
	A21	6,217,569	4/2001	Fiore		

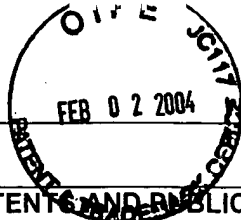
FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)	
	A22	Alsbaugh et al., "Everting Balloon Catheter in the Biliary Tree: A Technical Note", <i>CardioVascular and Interventional Radiology</i> , Vol. 9, pp. 164-166, 1986.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449	SERIAL NO. 10/692,310	CASE NO. 10000/232
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE October 22, 2003	GROUP ART UNIT To be assigned
APPLICANT(S): Reydel et al.		

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	A23	Benjamin et al, "The Toposcopic Through-Lumen Everting Catheter to Facilitate Dilation of Severe Strictures of the Gastrointestinal Tract", <i>Gastrointestinal Endoscopy</i> , Vol. 32, No. 1, pp. 33-35, 1986.
	A24	Goldstein et al., "The Toposcopic Catheter and the Fiberoptic pH Probe-Two Medical Instruments of Potential Use to Gastroenterologist", <i>Endoscopy</i> , Vol. 29, No. 3, pp. 236-240, 1983.
	A25	Reydel et al., U.S. patent application number 09/847,494 filed May 2, 2001, now abandoned
	A26	Shook et al., "Everting (Toposcopic) Catheter for Broad Clinical Application", <i>Transactions of the ASME</i> , Vol. 108, pp. 168-174, 1986.
	A27	Shook, D.R., "The Ins and Outs of Toposcopy and the Everting Catheter", <i>SOMA</i> , pp. 22-27, 1987.

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